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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/027,882

Filing Date

December 21, 2001

First Named Inventor

Vlad J. Novotny

Group Art Unit

2874

Examiner Name

Unknown

Total Number of Pages in This Submission

3 plus

Attorney Docket Number

A0-001-20 OCT 24 2002

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Copies of each cited document

ENCLOSURES

(check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Supplemental Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

1 Page PTO-1449 Citing 3 documents and a copy of each cited document
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Arthur J. Behiel, Patent Attorney

Signature

Arthur J. Behiel

Date

October 17, 2002

CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vlad J. Novotny

Assignee: Active Optical Networks, Inc.

Title: "DEEP-WELL LITHOGRAPHY PROCESS FOR FORMING
MICRO-ELECTRO-MECHANICAL STRUCTURES"

Serial No.: 10/027,882 Filed: December 21, 2001

Examiner: Tel:

Docket No.: AO-001-2D Art Unit: 2874

Box DD
Commissioner For Patents
Washington, D.C. 20231

October 17, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 3 documents listed on the enclosed form PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

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10-17-02
Date

Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603

